

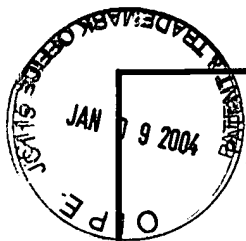
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PTO/SB/21 (6-99)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

	<b>Application Number</b>	10/714,444	
	<b>Filing Date</b>	November 14, 2003	
	<b>First Named Inventor</b>	Wessel	
	<b>Group/Art Unit</b>	Unassigned	
	<b>Examiner Name</b>	Unassigned	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	20397-308.831

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Version with Markings Showing Changes <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div>Itemized return postcard and Preliminary Amendment</div>
<div>Remarks</div>		

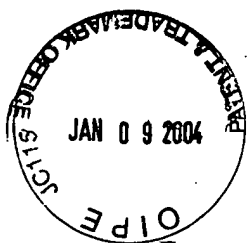
## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Kenta Suzue, Reg. No. 45,145, WILSON SONSINI GOODRICH & ROSATI		
Signature			
Date	1/8/04	Customer Number:	021971

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Wessel  
Serial No. : 10/714,444 Art Unit: Unassigned  
Filed : November 14, 2003 Examiner: Unassigned  
For : BIREFRINGENCE TRIMMING OF INTEGRATED OPTICAL DEVICES BY  
ELEVATED HEATING

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to examination of the above-identified application on the merits, please amend the application as follows.

**In the specification:**

Page 1, preceding the first paragraph: Please insert the following paragraph, --This application claims priority from European patent application number 02360311.1 filed November 14, 2002.--

**Remarks**

The above amendment to the specification is made to identify the prior patent application relied upon for priority by the present application.